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|  |                                 |   | Applicat                   | ion Number            | 10/814,93             | 2                        |
|--|---------------------------------|---|----------------------------|-----------------------|-----------------------|--------------------------|
|  |                                 |   | Filing Da                  | ate                   | March 30,             | 2004                     |
| TRANSMITTAL  | L FOR                           | М   | First Na                   | med Inventor          | Peter E. H            | lart                     |
| (to be used for all correspondence<br>- filed application  |                                 | endency of  | Group A                    | rt Unit Number        | 2624                  |                          |
|  |                                 |   | Examine                    | er Name               | James A.              | Thompson                 |
| Total Number of Pages in This Su   | ubmission                       | 9*  | Attomey                    | Docket Number         | 20412-083             | 183                      |
|  | ENCLO                           | DSURES  | (chec                      | k all that apply      | <i>'</i> )            |                          |
| Check Enclosed  Return Receipt Postcard  Response to Notice to File N  | •                               |   |                            |                       | s):<br>) of Figure(s) | •                        |
| Assignment & Recordation C Declaration Power of Attorney Application Data Sheet Supplemental Information Di  |                                 | Appeal Communication to Board of Appeals and Interferences  Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  Certified Copy of Priority Document(s) |                            |                       |                       |                          |
| Supplemental Information Disclosure Statement PTO/SB/08A  Copies of IDS Cited Non-Patent Refer  Request for Corrected Filing Receipt  Request for Correction of Recorded Assignment  Amendment/Response: [ ] Page(s) |                                 |   | es                         | After Allowance (     | Communication         | n to Group               |
| Status Request  Revocation and Substitute P  | Power of Att                    | omey  |                            |                       |                       |                          |
| REMARKS: *Page count does no   | t include cit                   | ted reference   | es.                        |                       |                       |                          |
|  | SIGNAT                          | URE OF  | ATTOR                      | NEY OR AGEN           | IT                    |                          |
| Signature:   | Mus                             | Re  | _                          |                       |                       |                          |
| Attorney/Reg. No.: Greg T. Su  | eoka, Reg.                      | No. 33,800  |                            |                       | Dated:                | 10/26/05                 |
|  | C                               | ERTIFICA  | ATE OF                     | MAILING               |                       | ,                        |
| I hereby certify that this correspondence<br>first class mail in an envelope addresse<br>If the Express Mail Mailing Numbal is fi<br>"Express Mail Post Office to Addressee  | ed to: Commis<br>lled in below, | ssioner for Pati<br>then this corre   | ents, P.O. 6<br>espondence | 3ox 1450, Alexandria, | VA 22313-1450         | on the date shown below. |
| Signature:   | , /                             | In  |                            | ·                     |                       | , ,                      |
| Typed or Printed Name: Greg  | T. Sueoka                       |   |                            |                       | Dated:                | 10/26/05                 |

Express Mail Mailing Number (optional):

| /  | 6   | IPE 4    |        |
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| (z | OCT | 3 1 2005 | M<br>A |
| 1  | ,   |          | 7/     |

# FEE TRANSMITTAL for FY 2005

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 180.00

|                      | Complete if Known |  |  |  |  |
|----------------------|-------------------|--|--|--|--|
| Application Number   | 10/814,932        |  |  |  |  |
| Filing Date          | March 30, 2004    |  |  |  |  |
| First Named Inventor | Peter E. Hart     |  |  |  |  |
| Examiner Name        | James A. Thompson |  |  |  |  |
| Art Unit             | 2624              |  |  |  |  |
| Attorney Docket No.  | 20412-08383       |  |  |  |  |

| METHOD OF PAYME                        | NT (check all that apply)                                    |                | •           |           | F          | EE CALCULATION (continued)  |             |
|--|--|----------------|-------------|-----------|------------|---|-------------|
| Check Credit Card Deposit Account:     | Money Order Other None                                       | 3. AD          | DITION      | AL FEE    | S          |   |             |
| Deposit Account Number                 | 19-2555  | <u>Large</u> l | Entity      | Small     | Entity     | Fee Description   | Fee Paid    |
| Deposit Account Name                   | Fenwick & West LLP   | Fee            | Fee         | Fee       | Fee        |   |             |
| The Commissioner is author             | prized to: (check all that apply)                            | 1051           | (\$)<br>130 | 2051      | (\$)<br>65 | Curchage late filing too or ooth or declaration   |             |
|  | below Credit any overpayments                                | 1051           | 50          | 2051      | 25         | Surcharge - late filing fee or oath or declaration Surcharge - late provisional filing fee or cover sheet |             |
| 1 = ' ''                               | s) or any underpayment of fee(s) due                         | 1053           | 130         | 1053      | 130        | Non-English specification   |             |
| under 37 CFR §1.16 or §                | 1.17 during the pendency of this                             | 1812           | 2,520       |           | 2,520      | For filing a request for ex parte reexamination   |             |
| application  Charge fee(s) indicated I | below, except for the filing fee to                          | 1804           | 920*        | 1804      | 920*       | Requesting publication of SIR prior to Examiner action  | <u> </u>    |
| the above-identified depo              |  | 1805           | 1.840*      | 1805      | 1,840*     | Requesting publication of SIR after Examiner action   |             |
| FEE CA                                 | ALCULATION   | 1251           | 120         | 2251      | 60         | Extension for reply within first month  |             |
| 1. BASIC FILING FEE                    | LOGENTON   | 1252           | 450         | 2252      | 225        | Extension for reply within second month   |             |
| Large Entity Small Entity              |  | 1253           | 1020        | 2253      | 510        | Extension for reply within third month  |             |
| Fee Fee Fee Fee                        | Fee Description Fee Paid                                     | 1254           | 1,590       | 2254      | 795        | Extension for reply within fourth month   |             |
| Code (\$) Code (\$)                    |  | 1255           | 2,160       | 2255      | 1,080      | Extension for reply within fifth month  |             |
|  |  | 1401           | 500         | 2401      | 250        | Notice of Appeal  | 100         |
|  |  | 1402           | 500         | 2402      | 250        | Filing a brief in support of an appeal  |             |
|  |  | 1403           | 1000        | 2403      | 500        | Request for oral hearing  |             |
|  | <u> </u>   | 1451           | 1,510       |           | 1,510      | Petition to institute a public use proceeding   |             |
|  | (0)  | 1452           | 500         | 2452      | 250        | Petition to revive - unavoidable  |             |
| SUBTOT                                 | TAL (1) (\$) 0   | 1453           | 1,500       | 2453      | 750        | Petition to revive - unintentional  |             |
| 2. EXTRA CLAIM FEES                    | FOR UTILITY AND REISSUE                                      | 1501           | 1,400       | 2501      | 700        | Utility issue fee (or reissue)  |             |
| Extr                                   | a Claims Fee from Fee Paid below . Fee Paid                  | 1502           | 800         | 2502      | 400        | Design issue fee  |             |
| Total Claims -20**=                    | X  | 1503           | 1100        | 2503      | 550        | Plant issue fee   |             |
| Independent<br>Claims -3** =           | x =  | 1460           |             | 1460      | _          | Petitions to the Director   |             |
| Multiple Dependent                     | =  | 1807           | .50         | 1807      | 50         | Processing fee for Provisional Applications   |             |
| Large Entity Small Entity              |  | 1806           | 180         | 1806      | 180        | Submission of Information Disclosure Stmt   | 180         |
| Fee Fee Code (\$)                      | Fee Description  | 8021           | 40          | 8021      | 40         | Recording each patent assignment per property<br>(times number of properties)                             |             |
| 1202 50 2202 25                        | Claims in excess of 20                                       | 1809           | 790         | 2809      | 395        | Filing a submission after final rejection (37 CFR 1.129(a))   |             |
| 1201 200 2201 100                      | Independent claims in excess of 3                            | 1810           | 790         | 2810      | 395        | For each additional invention to be examined (37 CFR 1.129(b))  |             |
| 1203 360 2203 180                      | Multiple dependent claim, if not paid                        | 1801           | 790         | 2801      | 395        | Request for Continued Examination (RCE)   |             |
|  | **Reissue independent claims over<br>original patent         | 1802           | 900         | 1802      | 900        | Request for expedited examination of a design application   |             |
| 1205 50 2205 25                        | **Reissue claims in excess of 20<br>and over original patent | Other fo       | ee (specify | ·<br>/)   |            |   |             |
| SUBTOT                                 | (A) (2) (B) C  |                |             |           |            | (0) 100   | <del></del> |
|  | (Ψ/ σ  | ١              |             |           |            | SUBTOTAL (3) (\$) 180   |             |
| or number previously paid, if g        | reater, For Reissues, see above                              | *Reduce        | d by Basic  | Filing Fe | e Paid     |   |             |
| CUBAUTTED BY                           |  | -              |             |           | -          |   |             |
| SUBMITTED BY                           |  | 1              |             |           |            | Complete (if applicable)  |             |

|                   |                | 7                                    |        | Complete (ii applicable) |  |
|-------------------|----------------|--------------------------------------|--------|--------------------------|--|
| Name (Print/Type) | Greg T. Sugoka | Registration No.<br>(Attorney/Agent) | 33,800 | Telephone (650) 335-7194 |  |
| Signature         | Drug Sa        | <u>'</u>                             | Date   | 10/10/05                 |  |
|                   |                | •                                    |        | , ,                      |  |

# OCT 3 1 2005

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Peter E. Hart, Jonathan J. Hull, Jamey Graham and Kurt Piersol

APPLICATION NO.:

10/814,932

FILING DATE:

March 30, 2004

TITLE:

Printer with Hardware and Software Interfaces for Media Devices

**EXAMINER:** 

James A. Thompson

GROUP ART UNIT:

2624

ATTY. DKT. NO.:

20412-08383

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner For Patents, P.O. Box 1450, Alexandria VA 22313-1450, on the date shown below:

Dated:

Greg T. Sueoka, Reg. No. 33,800

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## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO/SB/08A listing references for consideration by the Examiner.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

| within three months of the filing date of the application, or date of entry into  |
|---|
| the national stage of an international application, or before the mailing date of |
| a first office action on the merits, whichever event last occurred;               |
|   |

before the mailing of a first official action after the filing of a request for continued examination (RCE) under 37 CFR § 1.114;

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| М |             |          | of the firing date of this national application of the date of        |
|---|-------------|----------|---|
|   |             |          | ational stage in an international application, or after the mailing   |
|   |             |          | st official action on the merits, whichever event last occurred, but  |
|   |             |          | uiling date of the first to occur of either: (1) a final action under |
|   |             |          | 13; or (2) an action that otherwise closes prosecution in the         |
|   |             | ation, a |   |
|   | $\boxtimes$ |          | hed hereto is the fee set forth under 37 CFR §1.17(p) for             |
|   |             | subm     | sission of this Information Disclosure Statement under 37 CFR.§       |
|   |             | 1.97(    | c); OR  |
|   |             | Appl     | icant certifies pursuant to 37 CFR § 1.97(e) that:                    |
|   |             |          | each item of information contained in this Information                |
|   |             |          | Disclosure Statement was first cited in a communication from          |
|   |             |          | a foreign patent office in a counterpart foreign application not      |
|   |             |          | more than three months prior to the filing of this Statement;         |
|   |             |          | OR  |
|   |             |          | no item of information contained in this Information                  |
|   |             |          | Disclosure Statement was cited in a communication from a              |
|   |             |          | foreign patent office in a counterpart foreign application and,       |
|   |             |          | to the knowledge of the person signing this certification after       |
|   |             |          | making reasonable inquiry, no item of information contained           |
|   |             |          | in this Statement was known to any individual designated              |
|   |             |          | under 37 CFR § 1.56(c) more than three months prior to the            |
|   |             |          | filing of this Statement;   |
|   | on or       | before   | the payment of the issue fee but after the mailing date of the first  |
|   | to occ      | cur of e | ither: (1) a final action under 37 CFR § 1.113; (2) a notice of       |
|   | allow       | ance un  | nder 37 CFR § 1.311; or (3) an action that otherwise closes           |
|   | prose       | cution i | n the application, and:   |
|   |             | Appli    | icant certifies pursuant to 37 CFR. § 1.97(e) that:                   |
|   |             |          | each item of information contained in this Information                |
|   |             |          | Disclosure Statement was cited in a communication from a              |
|   |             |          | foreign patent office in a counterpart foreign application not        |
|   |             |          | more than three months prior to the filing of this Statement;         |
|   |             |          | no item of information contained in this Information                  |
|   |             |          | Disclosure Statement was cited in a communication from a              |
|   |             |          | foreign patent office in a counterpart foreign application and,       |
|   |             |          | to the knowledge of the person signing this certification after       |
|   |             |          | making reasonable inquiry, no item of information contained           |

|             |               |          | in thi          | is Statement was known to any individual designated         |
|-------------|---------------|----------|-----------------|---|
|             |               |          | unde            | r 37 CFR § 1.56(c) more than three months prior to the      |
|             |               |          | filing          | g of this Statement; AND                                    |
|             |               |          | attached here   | eto is the fee set forth under 37 CFR §1.17(p) for          |
|             |               |          | submission of   | of this Information Disclosure Statement under 37 CFR.§     |
|             |               |          | 1.97(d); OR     | •   |
|             |               | after th | ne payment of   | the issue fee. Applicant requests that the information      |
|             |               |          |                 | formation Disclosure Statement be placed in the file        |
|             |               |          |                 | R § 1.97(i), although the information may not be            |
|             |               |          | ered by the U   |   |
|             |               |          | •               | listed reference that may be material to the examination of |
|             |               |          |                 | ich there may be a duty to disclose.                        |
|             |               |          |                 | er 35 U.S.C. § 120, on the earlier filing date of prior     |
| ш           |               |          |                 | led on, and the references cited therein are hereby         |
|             |               |          |                 | ired to be provided in this application under 37 CFR §      |
|             | 1.98(d).      |          | it are not requ | ned to be provided in this application under 57 CFR §       |
| $\boxtimes$ | ` ,           |          | on was filed a  | after June 30, 2003. Therefore, pursuant to the waiver of   |
|             |               | •        |                 | CFR 1.98(a)(2)(i), copies of each U.S. Patent and each      |
|             | -             |          |                 |   |
|             |               |          |                 | blication are not required to be submitted. Copies of any   |
|             | •             | -        |                 | nd non-patent literature cited herein are enclosed.         |
|             |               |          |                 | ontained in this Information Disclosure Statement was       |
|             |               |          |                 | rom a foreign patent office in a counterpart application,   |
|             |               |          |                 | s not received by any individual designated in 37 CFR §     |
|             |               |          |                 | ys prior to the filing of this Information Disclosure       |
| _           |               |          | CFR § 1.704     |   |
|             |               |          |                 | ee is required for the consideration of this Information    |
|             | Disclos       | ure Sta  | atement.        |   |
| Consi       | ideration of  | of the   | isted referenc  | es and favorable action are solicited.                      |
|             |               |          |                 | Respectfully submitted, PETER E. HART ET AL.                |
|             |               |          |                 | TETER E. HART ET AL.  |
|             |               |          | ,               |   |
|             | 10/           | 26/      | 05              | By: Jun Jun   |
|             | _ <i>L</i> ,, |          | <del></del>     | Greg T. Sueoka, Reg. No.: 33,800                            |
|             |               |          |                 | Fenwick & West LLP  |
|             |               |          |                 | Silicon Valley Center                                       |
|             |               |          |                 | 801 California Street Mountain View, CA 94041               |
|             |               |          |                 | Tel.: (650) 335-7194  |
|             |               |          |                 | Fax.: (650) 938-5200  |
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Dated:

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|--|-------------------------------|--------|---------|------------------------|-------------------|----------|--|
| INFO   | PMATION                       | ו חופו | OSUBE   | Application No.        | 10/814,932        |          |  |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT  |                               |        |         | Filing Date            | March 30, 2004    | <u> </u> |  |
|  |                               |        | PLICANI | First Named Inventor   | Peter E. Hart     |          |  |
|  |                               |        |         | Art Unit               | 2624              |          |  |
|  |                               |        |         | Examiner Name          | James A. Thompson |          |  |
| Sheet  | 1                             | of     | 3       | Attorney Docket Number | 20412-08383       |          |  |

OCT 3 1 2005

|                       |                          |  | U.S. PATE          | NT DOCUMENTS                                       |
|-----------------------|--------------------------|--|--------------------|--|
|                       |                          | Document No.                               |                    |  |
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Number – Kind Code <sup>2</sup> (if known) | Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document |
| •                     | A1                       | US-6,774,951                               | 08-10-2004         | Narushima  |
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|                       | А3                       | US-6,665,092                               | 12-16-2003         | Reed   |
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|                       | A24                      | US-5,682,330                               | 10-28-1997         | Seaman et al.                                      |
|                       | A25                      | US-5,661,783                               | 08-26-1997         | Assis  |

| Examiner<br>Signature | Date<br>Considered |  |
|-----------------------|--------------------|--|
|                       |                    |  |

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Approved for use through 10/31/2002. OMB 0651-0031

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|------------------------|----------------|------------|---------|------------------------|-------------------|--|
| INFORMATION DISCLOSURE |                |            |         | Application No.        | 10/814,932        |  |
| STATEMENT BY APPLICANT |                |            |         | Filing Date            | March 30, 2004    |  |
|                        |                |            | PLICANI | First Named Inventor   | Peter E. Hart     |  |
|                        |                |            |         | Art Unit               | 2624              |  |
|                        |                |            |         | Examiner Name          | James A. Thompson |  |
| Sheet                  | 2              | of         | 3       | Attorney Docket Number | 20412-08383       |  |

| U.S. PATENT DOCUMENTS |              |  |                    |  |  |  |  |
|-----------------------|--------------|--|--------------------|--|--|--|--|
|                       |              | Document No.                               |                    |  |  |  |  |
| Examiner Initials*    | Cite<br>No.1 | Number – Kind Code <sup>2</sup> (if known) | Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document |  |  |  |
|                       | A26          | US-5,444,476                               | 08-22-1995         | Conway   |  |  |  |
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|                       |              |   | FOREIGN PA                     | TENT DOCUM9ENTS                                    |                |
|-----------------------|--------------|---|--------------------------------|--|----------------|
|                       | T            | Foreign Patent Document   |                                |  |                |
| Examiner<br>Initials* | Cite<br>No.1 | Country Code <sup>3</sup> –<br>Number <sup>4</sup><br>Kind Code <sup>5</sup> (if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | T <sup>6</sup> |
|                       | B1           | WO 99/18523   | 04-15-1999                     | Caere Corporation                                  |                |

| OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS |                          |  |                |  |  |
|--|--------------------------|--|----------------|--|--|
| Examiner<br>Initials*                              | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | T <sup>6</sup> |  |  |
|  | C1                       | GOPAL, S. et al., "Load Balancing in a Heterogeneous Computing Environment," Proceedings of the Thirty-First Hawaii International Conference on System Sciences," 6-9 January 1998.  |                |  |  |
|  | C2                       | GROPP, W. et al., "Using MPI – Portable Programming with the Message-Passing Interface," copyright 1999, pages 35-42, second edition, MIT Press.   |                |  |  |
|  | СЗ                       | "Seiko Instruments USA, Inc Business and Home Office Products" online, date unknown, Seiko Instruments USA, Inc.,  |                |  |  |

|    | Examiner<br>Signature | Date<br>Considered |  |
|----|-----------------------|--------------------|--|
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|-------|------------------|----------|----------|------------------------|-------------------|--|
| INEC  |                  | יסום ו   | CLOSURE  | Application No.        | 10/814,932        |  |
|       |                  |          | PPLICANT | Filing Date            | March 30, 2004    |  |
| SIA   | LEIMENI          | ot Ai    | PPLICANI | First Named Inventor   | Peter E. Hart     |  |
|       |                  |          |          | Art Unit               | 2624              |  |
|       |                  |          |          | Examiner Name          | James A. Thompson |  |
| Sheet | 3                | of       | 3        | Attorney Docket Number | 20412-08383       |  |

|                       |                          | OTHER REFERENCES - NON-PATENT LITERATURE DOCUMENTS   |                |
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| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | T <sup>6</sup> |
|                       |                          | [retrieved on January 25, 2005]. Retrieved from the Internet: <url: http:="" link-ir-p-html="" products="" www.siibusinessproducts.com="">.</url:>   |                |
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.